

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

148527-1

In Re Application Of: **Gascoyne, et al.**

Serial No.

10/709,208

Filing Date

April 21, 2004

Examiner

NYA

Group Art Unit

NYA

Title: **AUTHENTICATION SYSTEM, DATA DEVICE, AND METHODS FOR USING THE SAME**

Address to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

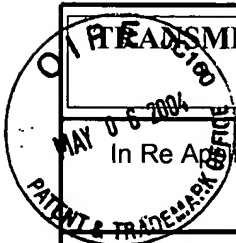
37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

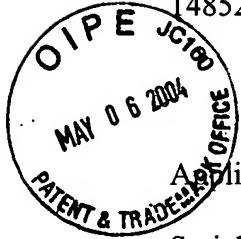
☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).



| TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c)) | | | Docket No. 148527-1 |
|---|-------------------------------|--|------------------------|
| In Re Application: Gascoyne, et al. | | | |
| Serial No. 10/709,208 | Filing Date April 21, 2004 | Examiner NYA | Group Art Unit NYA |
| AUTHENTICATION SYSTEM, DATA DEVICE, AND METHODS FOR USING THE SAME | | | |
| Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) <input type="checkbox"/> A check in the amount of _____ is attached. <input checked="" type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. 07-0862 as described below. <input type="checkbox"/> Charge the amount of _____ <input type="checkbox"/> Credit any overpayment. <input checked="" type="checkbox"/> Charge any additional fee required. | | | |
| Certificate of Transmission by Facsimile* I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F: _____ (Date) _____ Signature _____ Typed or Printed Name of Person Signing Certificate | | Certificate of Mailing by First Class Mail I certify that this document and fee is being deposited on May 4, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. _____ Signature of Person Mailing Correspondence Sonia Mancini _____ Typed or Printed Name of Person Mailing Certificate | |
| <p>*This certificate may only be used if paying by deposit account.</p> <p>_____ Signature Pamela J. Curbelo Registration No. 34,676 Customer No. 23413 (860) 286-2929</p> | | Dated: May 4, 2004 | |
| CC: | | | |



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Gascoyne, et al.)
 Serial No.: 10/709,208) Group Art Unit: NYA
 Filing Date: April 21, 2004) Examiner: NYA
 For: AUTHENTICATION SYSTEM, DATA)
 DEVICE, AND METHODS FOR USING)
 THE SAME)

Commissioner for Patents
 P. O. Box 1450
 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.56, 1.97 AND 1.98

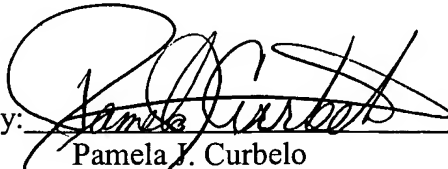
Sir:

In compliance with the duty to disclose, submitted herewith is form PTO-A820 (PTO-1449) listing publication(s) of which those designated by 37 CFR § 1.56 are aware. Copies of the non-United States patents or published applications are enclosed.


The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or an admission that the information cited is, or is considered to be, material to patentability.

Respectfully submitted,

CANTOR COLBURN LLP

By: 
 Pamela J. Curbelo
 Registration No. 34,676

Date: May 4, 2004
 Customer No.: 23413
 Telephone: (860) 286-2929

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|---|------------------------------------|---------------------------------|
| <div style="text-align: center;">  <p>INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)</p> </div> | ATTY DOCKET NO. 148527-1 | SERIAL NO. 10/709,208 |
| | Gascoyne, et al. | |
| | FILING April 21, 2004 | GROUP NYA |

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| 12 | EP 0121261 A2 | 10.10.1984 | Europe | | | | ✓ |
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|-----------------|------------------------|
| EXAMINER | DATE CONSIDERED |
|-----------------|------------------------|

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| | 35 | Letters to the Editor, Financial Times, USA Ed1 20021119U112.036 ed, p 12; November 19, 2002; Journal Code: FFT; Industry Fails to provide user-friendly music 1 page | | | | | | |
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